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Color code techniques in rainbow connection

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Abstract

Let G be a graph with an edge k-coloring $\gamma : E(G) \to \{1, \ldots, k\}$ (not necessarily proper). A path is called a rainbow path if all of its edges have different colors. The map γ is called a rainbow coloring if any two vertices can be connected by a rainbow path. The map γ is called a strong rainbow coloring if any two vertices can be connected by a rainbow geodesic. The smallest k for which there is a rainbow k-coloring (resp. strong rainbow k-coloring) on G is called the rainbow connection number (resp. strong rainbow connection number) of G, denoted rc(G) (resp. src(G)). In this paper we generalize the notion of "color codes" that was originally used by Chartrand et al. in their study of the rc and src of complete bipartite graphs, so that it now applies to any connected graph. Using color codes, we prove a new class of lower bounds depending on the existence of sets with common neighbours. Tight examples are discussed, involving the amalgamation of complete graphs, generalized wheel graphs, and a special class of sequential join of graphs.

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1. Introduction

In 2008, Chartrand *et al.* introduced rainbow colorings, as a way to strengthen connectedness. A *coloring* on a graph G refers to any map $\gamma : E(G) \rightarrow \{1, \ldots, k\}$, which is also called *edge*-

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coloring or k-coloring. We write $x \stackrel{i}{-} y$ to say $xy \in E(G)$ and $\gamma(xy) = i$. A path

$$v_1 \stackrel{c_1}{-} v_2 \stackrel{c_2}{-} \cdots \stackrel{c_{n-1}}{-} v_n \tag{1.1}$$

with color sequence c_1, c_2, \dots, c_{n-1} is said to be a rainbow path if all of its edges have different colors. A coloring is called a rainbow coloring if any two vertices can be connected by a rainbow path. A trivial way to produce a rainbow coloring on any connected graph is using |E(G)| colors to give each individual edge its own color. This may not be efficient, e.g. two colors are enough to rainbow-color C_4 (put 1 and 2 alternately). The smallest k for which there is a rainbow k-coloring on G is called the rainbow connection number of G, denoted by rc(G).

These notions can be developed further by considering the notion of a *geodesic*, which is a shortest path between two vertices x, y in a graph G. The *distance* $d_G(x, y)$ is defined as the length of any such shortest path. The *diameter* of G, denoted by diam(G), is defined as the largest distance between pairs of vertices of G. A coloring is called a *strong rainbow coloring* if any two vertices can be connected by a rainbow geodesic. The smallest k for which there is a strong rainbow k-coloring on G is called the *strong rainbow connection number* of G, denoted by src(G).

Chartrand et al. [1] noted the following chain,

$$diam(G) \le rc(G) \le src(G) \le |E(G)|. \tag{1.2}$$

Some equality cases are known. The complete graphs are the only graphs whose rc and src are equal to 1, and trees are the only graphs whose rc and src are equal to the number of edges in those graphs (see [1]). However, it remains open to characterize when rc(G) = diam(G).

Li and Sun [5] tightened the upper bound to $src(G) \leq |E(G)| - 2t$, where t is the number of edge-disjoint triangles. Schiermeyer [7] observed a different lower bound $rc(G) \geq n_1(G)$, where n_1 is the number of vertices of degree one. The reader is referred to [6] for a more detailed survey.

In this paper, we prove some lower bounds based on the presence of sets with common neighbours. For a non-empty $Q \subseteq V(G)$, its common neighborhood is denoted

$$CN(Q) = \bigcap_{v \in Q} N(v) \tag{1.3}$$

A new graph Q^* (called the *CN-graph* of *Q*) is defined with $V(Q^*) = Q$ such that $v, w \in Q$ are adjacent in Q^* if and only if they are already adjacent in *G*, or $CN(v, w) \neq CN(Q)$. In Section 2.1 we prove that if $CN(Q) \neq \emptyset$ then

$$src(G) \ge \max\left\{\beta_0(Q^*), \frac{|Q|}{\omega(Q^*)}\right\}^{\frac{1}{|CN(Q)|}}$$
(1.4)

where β_0 is the vertex-independence number, and ω is the clique number. These parameters are described e.g. in [4]. We also prove a version of (1.4) for multiple sets. In Section 2.2 we prove similar bounds for rc. In Section 2.3 we discuss some miscellaneous bounds that will be useful in our discussion of tight examples involving the amalgamation of complete graphs, generalized wheel graphs, and a class of sequential join.

We use color codes. This notion was used in [1] as a tool to study the rc and src of complete bipartite graphs. Now we adapt it to any connected graph. Given a coloring $\gamma : E(G) \rightarrow \{1, \ldots, k\}$ (not necessarily rainbow) and a non-empty set $Q \subseteq V(G)$ with non-empty common neighborhood $CN(Q) = \{t_1, \ldots, t_b\}$, we define the *color code* of a vertex $v \in Q$ as follows,

$$code(v) = (\gamma(vt_1), \gamma(vt_2), \cdots, \gamma(vt_b)).$$
 (1.5)

The tuple code(v) depends on the set Q that we consider v a member of, as illustrated in Figure 1.



Figure 1. If we consider $a \in \{a, d\}$, code(a) is a 3-tuple. It is a 2-tuple if we consider $a \in \{a, d, f\}$.

To avoid ambiguity, we also refer to the tuple $(\gamma(vt_1), \gamma(vt_2), \dots, \gamma(vt_b))$ as the code of v with respect to $\{t_1, \dots, t_b\}$. Let $code(Q) = \{code(v) | v \in Q\}$. Since every code is a *b*-tuple, we have

$$|code(Q)| \le k^b. \tag{1.6}$$

Lemma 1.1. Let γ be a coloring on G, and $Q \subseteq V(G)$ with $CN(Q) \neq \emptyset$. Then there is a rainbow geodesic between two non-adjacent vertices in Q^* if and only if their color codes are different.

Proof. Let $v, w \in Q$ but $vw \notin E(Q^*)$. Any v-w geodesic has the form v-t-w with $t \in CN(Q)$. So there is a rainbow v-w geodesic if and only if there is a $t \in CN(Q)$ with $\gamma(vt) \neq \gamma(wt)$. \Box

A set is called *co-neighboring* if any two of its vertices have precisely the same (non-empty) neighborhood. An *independent* set has any two of its vertices non-adjacent.

Lemma 1.2. Let γ be a coloring on G, $Q \subseteq V(G)$ co-neighboring, and CN(Q) independent. If $v, w \in Q$ and code(v) = code(w), then the length of any rainbow path between them is at least 4.

Proof. Since Q is co-neighboring, $vw \notin E(G)$ and N(v) = N(w) = CN(Q). So $vw \notin E(Q^*)$. By Lemma 1.1 there are no rainbow v-w geodesics. Let $L: v-x-\cdots-y-w$ be a rainbow path with $x \in N(v)$ and $y \in N(w)$. Then $x, y \in CN(Q)$ and $x \neq y$ (since L is not geodesic). So, the length of L is at least $2 + d_G(x, y) \ge 4$ because x, y are non-adjacent.

Lemma 1.3. Let γ be a coloring on G, and $Q \subseteq V(G)$ with $CN(Q) \neq \emptyset$. If

$$|code(Q)| < \max\left\{\beta_0(Q^*), \frac{|Q|}{\omega(Q^*)}\right\},\tag{1.7}$$

then there are non-adjacent vertices in Q^* with the same color code.

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Proof. Let b = |CN(Q)|. If $|code(Q)| < \beta_0(Q^*)$, then let $X \subseteq Q$ be an independent set in Q^* with $|X| = \beta_0(Q^*)$; since |X| > |code(Q)|, some two $v, w \in X$ have the same code.

If $|code(Q)| < \frac{|Q|}{\omega(Q^*)}$, then $|code(Q)|\omega(Q^*) < |Q|$ so at least $\omega(Q^*) + 1$ vertices in Q have the same code; if X is a set of such vertices, then some $v, w \in X$ are non-adjacent in Q^* .

Later we deal with multiple subsets. The problem is how to compare the codes in different subsets. Let us call two disjoint sets $Q_1, Q_2 \subseteq V(G)$ *CN-bridged* if for every $v \in Q_1$ and $w \in Q_2$ we have v and w non-adjacent in G, and any geodesic between them has the form $v-x-\cdots-y-w$ with $x \in CN(Q_1)$ and $y \in CN(Q_2)$. A *diagonal* tuple has the form (i, i, \ldots, i) .

Lemma 1.4. Let $Q_1, \ldots, Q_p \subseteq V(G)$ with $p \ge 2$ and $|CN(Q_i)| = b$ for all $i \in \{1, \ldots, p\}$, and let γ be a k-coloring on G. Suppose there is a natural number r that satisfies the following condition,

$$r \le k \le \sqrt[b]{\frac{1}{p} \left(r - 1 + \sum_{i=1}^{p} \max\left\{ \beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)} \right\} \right)}.$$
(1.8)

Then one of the following must hold:

- (1) For some $i \in \{1, ..., p\}$, there are non-adjacent vertices in Q_i^* with the same code.
- (2) For some $i, j \in \{1, ..., p\}$ with $i \neq j$, there is a diagonal tuple in $code(Q_i) \cap code(Q_j)$.

Proof. Suppose (1) fails to hold. Let A and B be the set of diagonal and non-diagonal b-tuples of numbers from $\{1, \ldots, k\}$, respectively. Then |A| = k and $|B| = k^b - k$. We need to show $code(Q_i) \cap code(Q_j) \cap A \neq \emptyset$ for some $i \neq j$. Assuming otherwise, for all $i \neq j$ we have

$$0 = |code(Q_i) \cap code(Q_j) \cap A| \geq |code(Q_i) \cap A| + |code(Q_i) \cap A| - |A|$$

$$\geq |code(Q_i)| - |B| + |code(Q_j)| - |B| - |A|$$

$$= |code(Q_i)| + |code(Q_j)| - 2k^b + k$$

so $2k^b - k \ge |code(Q_i)| + |code(Q_j)|$. Summed up, $\binom{p}{2}(2k^b - k) \ge (p-1)\sum_{i=1}^p |code(Q_i)|$. Hence

$$k^{b} - \frac{1}{p} \sum_{i=1}^{p} |code(Q_{i})| \ge \frac{k}{2} \ge \frac{r}{2}.$$
(1.9)

Since (1) fails, we have $|code(Q_i)| \ge \max\left\{\beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)}\right\}$ for $1 \le i \le p$ by Lemma 1.3. So

$$\frac{r}{2} \le k^b - \frac{1}{p} \sum_{i=1}^p |code(Q_i)| \le k^b - \frac{1}{p} \sum_{i=1}^p \max\left\{\beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)}\right\} \le \frac{r-1}{p}$$
(1.10)

where the rightmost inequality in (1.10) follows from the rightmost inequality in the hypothesis (1.8). Since $p \ge 2$, we get $\frac{r}{2} \le \frac{r-1}{p} \le \frac{r-1}{2}$, a contradiction.

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2. Main Results

2.1. Lower bounds for src

Theorem 2.1. Let G be a connected graph and $Q \subseteq V(G)$ with $CN(Q) \neq \emptyset$. Then

$$src(G) \ge \max\left\{\beta_0(Q^*), \frac{|Q|}{\omega(Q^*)}\right\}^{\frac{1}{|CN(Q)|}}.$$
 (2.1)

Proof. Let b = |CN(Q)|. Suppose $src(G) \le k$, where $k = \left[\sqrt[b]{\max\left\{\beta_0(Q^*), \frac{|Q|}{\omega(Q^*)}\right\}}\right] - 1$. Under a strong rainbow k-coloring on G, we have $|code(Q)| \le k^b < \max\left\{\beta_0(Q^*), \frac{|Q|}{\omega(Q^*)}\right\}$. So Lemma 1.3 applies, and we get a contradiction with Lemma 1.1.

If we have several subsets $Q_1, \ldots, Q_p \subseteq V(G)$, then an application of Theorem 2.1 to each individual set gives p lower bounds, which can be averaged to

$$src(G) \ge \frac{1}{p} \sum_{i=1}^{p} \sqrt[b]{\max\left\{\beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)}\right\}}.$$
 (2.2)

The following is a better bound that incorporates all the subsets simultaneously, under the additional assumption that the sets are pairwise CN-bridged. Moreover, the bound can also make use of a previously known lower bound for src and possibly improve it to a sharper bound.

Theorem 2.2. Let G be a connected graph, $p \ge 2$, and $Q_1, \ldots, Q_p \subseteq V(G)$ be pairwise CNbridged sets with $|CN(Q_i)| = b > 0$ for $1 \le i \le p$. If $src(G) \ge r$ for some $r \in \mathbb{N}$, then

$$src(G) \ge 1 + \left\lfloor \sqrt{\frac{1}{p} \left(r - 1 + \sum_{i=1}^{p} \max\left\{ \beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)} \right\} \right) \right\rfloor.$$
 (2.3)

Proof. Suppose $src(G) \leq k$, where k is the right hand side minus 1. Let γ be a strong rainbow k-coloring on G. Note that (1.8) holds, so one of the options (1) or (2) in Lemma 1.4 holds. If (1) holds, Lemma 1.1 is contradicted. So (2) holds. Let $v \in Q_i$ and $w \in Q_j$ have the same diagonal tuple as their code. By CN-bridging, any v-w geodesic has the form $v-x-\cdots-y-w$ with $x \in CN(Q_i)$ and $y \in CN(Q_j)$. But $\gamma(vx) = \gamma(wy)$, so this geodesic is not rainbow.

Remark 2.1. Even if we start with the trivial estimate r = 1, this is already stronger than the average bound (2.2), due to Jensen's inequality for the concave function $f(x) = \sqrt[b]{x}$ on $x \ge 0$ and the fact that $1 + \lfloor x \rfloor > x$.

2.2. Lower bounds for rc

We consider analogous version of the previous bounds for rainbow connection number.

Theorem 2.3. Let G be a connected graph and $Q \subseteq V(G)$ a co-neighboring set, with CN(Q) independent. Then

$$rc(G) \ge \min\left\{4, |Q|^{\frac{1}{|CN(Q)|}}\right\}.$$
 (2.4)

Proof. Let b = |CN(Q)|. Suppose $rc(G) \le k$, where $k = \min\{3, \lceil \sqrt[b]{|Q|} \rceil - 1\}$. Then there is a rainbow k-coloring γ on G. Since $|code(Q)| \le k^b < |Q|$, some two $v, w \in Q$ have the same code. This contradicts Lemma 1.2, since $k \le 3$.

Two sets Q_1, Q_2 are called *adjacent* if some vertex in Q_1 is adjacent to some vertex in Q_2 .

Theorem 2.4. Let G be a connected graph, $p \ge 2$, and $Q_1, \ldots, Q_p \subseteq V(G)$ be co-neighboring pairwise non-adjacent sets, with $|CN(Q_i)| = b > 0$ and $CN(Q_i)$ independent for $1 \le i \le p$. Let $rc(G) \ge r$ for some $r \in \mathbb{N}$. Then

$$rc(G) \ge \min\left\{4, 1 + \left\lfloor\sqrt[b]{\frac{1}{p}\left(r - 1 + \sum_{i=1}^{p} |Q_i|\right)}\right\rfloor\right\}.$$
(2.5)

Proof. Suppose $rc(G) \leq k$, where is the right hand side minus 1. Let γ be a rainbow k-coloring on G. Note that (1.8) holds, so one of the options (1) or (2) in Lemma 1.4 holds. If (1) holds, Lemma 1.2 is contradicted because $k \leq 3$. So (2) holds. Let $v \in Q_i$ and $w \in Q_j$ have the same diagonal tuple as their code, with $i \neq j$. In any path $v - x - \cdots - y - w$, we have $x \in N(v) = CN(Q_i)$ and $y \in N(w) = CN(Q_j)$ since Q_i and Q_j are co-neighboring sets. Since v, w are non-adjacent, the length of this path is at least two. But $\gamma(vx) = u = \gamma(wy)$, so the path is not rainbow.

2.3. Miscellaneous Bounds

Now we prove some additional bounds that will be useful in our discussion in Section 3. We call G an s-strong graph if G is connected and every rainbow s-coloring on G is strong rainbow. For example, any connected graph is 1-strong, and any tree is s-strong for every $s \in \mathbb{N}$.

Theorem 2.5. Let G be an s-strong graph. Then

$$rc(G) \ge \min\{s+1, src(G)\}\tag{2.6}$$

with equality if and only if $rc(G) \leq s + 1$.

Proof. Suppose $rc(G) \le k$, where $k = \min\{s, src(G) - 1\}$. Then there is a rainbow k-coloring γ on G. Since $k \le s, \gamma$ is a strong rainbow coloring. This contradicts k < src(G).

If equality occurs, then $rc(G) = \min\{s+1, src(G)\} \le s+1$. Conversely, if $rc(G) \le s+1$, since $rc(G) \le src(G)$ then we have $rc(G) \le \min\{s+1, src(G)\}$, so equality occurs. \Box

Later we need 2-strong and 3-strong graphs.

Theorem 2.6. Any connected graph is 2-strong. Therefore,

$$rc(G) \ge \min\{3, src(G)\}\tag{2.7}$$

for any connected graph G, with equality if and only if $rc(G) \leq 3$.

Proof. Any path of length two between non-adjacent vertices must be a geodesic. So, any rainbow 2-coloring is strong rainbow. \Box

Theorem 2.7. Any connected (C_3, C_5) -free graph is 3-strong. Therefore, if G is connected and (C_3, C_5) -free (for example when G is bipartite) then

$$rc(G) \ge \min\{4, src(G)\}\tag{2.8}$$

with equality if and only if $rc(G) \leq 4$.

Proof. Suppose there is a rainbow 3-coloring on G that is not strong rainbow. Let $v, w \in V(G)$ be non-adjacent vertices without any rainbow geodesics. Let L be a rainbow v-w path. If the length of L is two or $d_G(v, w) = 3$, then L will be a geodesic. So the length of L is three and $d_G(v, w) = 2$. Suppose $L : v-x_1-x_2-w$, and let $v-x_3-w$ be a geodesic. If $x_3 \in \{x_1, x_2\}$, then G contains a C_3 . If $x_3 \notin \{x_1, x_2\}$, then G contains a C_5 .

3. Tight Examples

Our examples involve the notion of graph join. Recall that the *join* of two graphs A and B is a new graph obtained from their disjoint union by adding a new edge between every vertex of A and every vertex of B. The resulting graph is denoted by A + B. For example, the complete bipartite graph $K_{s,t}$ is a join $\overline{K_s} + \overline{K_t}$ of two edgeless graphs.

In the case of a graph join, the CN-graph construction becomes the well-known construction of graph square. Recall that the *square graph* of a graph A, denoted by A^2 , is a new graph with the same vertex-set as A, but with edge-set $E(A^2) = \{vw : 1 \le d_A(v, w) \le 2\}$.

Lemma 3.1. Let A and B graphs, and G = A + B. If Q = V(A), then $Q^* = A^2$.

Proof. Let $vw \in E(Q^*)$. Then $vw \in E(G)$ or $CN(v,w) \neq CN(Q)$. If $vw \in E(G)$ then $vw \in E(A) \subseteq E(A^2)$. If $CN(v,w) \neq CN(Q)$, there is $x \in CN(v,w)$ with $x \notin CN(Q) = V(B)$, so $x \in V(A)$ and $d_A(v,w) \leq 2$.

Conversely, let $vw \in E(A^2)$. If $d_A(v, w) = 1$ then $vw \in E(A) \subseteq E(Q^*)$. If $d_A(v, w) = 2$, there is a path v-x-w with $x \in V(A)$, so $x \in CN(v, w)$ but $x \notin V(B) = CN(Q)$.

3.1. Amalgamation of Complete Graphs

Our first example is one in which the β_0 lower bound in Theorem 2.1 is stronger than the ω lower bound. The *amalgamation* of (disjoint) complete graphs K_{m_1}, \ldots, K_{m_t} , denoted by

$$\operatorname{Amal}(K_{m_1},\ldots,K_{m_t}) \tag{3.1}$$

is a new graph obtained by choosing one vertex from each K_{m_i} and identifying those vertices as a single vertex (called the *central* vertex). The rainbow connection number of $\text{Amal}(K_{m_1}, \ldots, K_{m_t})$ when $m_1 = \cdots = m_t \ge 3$ was studied by Fitriani and Salman [2]. Now we settle the general case.

Theorem 3.1. If $m_1, \ldots, m_t, t \ge 2$ and u is the number of $i \in \{1, \ldots, t\}$ with $m_i = 2$, then

(1) $src(Amal(K_{m_1}, ..., K_{m_t})) = t.$

(2)
$$rc(Amal(K_{m_1},\ldots,K_{m_t})) = \begin{cases} 2, & \text{if } t = 2, \\ \max\{3,u\} & \text{otherwise.} \end{cases}$$

Proof. Let $G = \text{Amal}(K_{m_1}, \ldots, K_{m_t})$. Note that

$$G = \left(\bigcup_{i=1}^{t} K_{m_i-1}\right) + K_1.$$
(3.2)

Let $A = \bigcup_{i=1}^{t} K_{m_i-1}$ and Q = V(A). By Lemma 3.1, $Q^* = A^2 = A$ because there is no edge in A between K_{m_i-1} and K_{m_j-1} for all $i \neq j$. So $\beta_0(Q^*) = t$ and $\omega(Q^*) = \max\{m_1, \ldots, m_t\}$. By the β_0 lower bound in Theorem 2.1, we have $src(G) \geq t$. A strong rainbow t-coloring on G is easily constructed by giving $\gamma(e) = i$ if $e \in E(K_{m_i})$. Therefore src(G) = t.

It remains to compute the rc. Since G is not a complete graph, $rc(G) \ge 2$. If t = 2, then $rc(G) \le src(G) = t = 2$ and so rc(G) = 2. If $t \ge 3$ and u = t, then G is a tree and $rc(G) = |E(G)| = u = \max\{3, u\}$.

Now let $t \ge 3$ and u < t. By Theorem 2.6, $rc(G) \ge \min\{3, t\} = 3$. By Schiermeyer's lower bound, $rc(G) \ge n_1(G) = u$. So $rc(G) \ge \max\{3, u\}$. A rainbow $\max\{3, u\}$ -coloring γ on G can be produced as follows. First, give all u vertices of degree 1 in G different colors. For all i such that $m_i \ge 3$, put $\gamma(e) = 3$ for all $e \in E(K_{m_i-1})$, put color 1 on half the edges from K_{m_i-1} to K_1 , and put color 2 on the remaining edges from K_{m_i-1} to K_1 . This way, any two non-adjacent vertices in A can be connected by a rainbow path whose color sequence is i, j, or i, 1, 3, or i, 2, 3, or i, j where $i, j \in \{1, \ldots, u\}$ and $j \neq i$ (see Figure 2 below).



Figure 2. Illustration of a strong rainbow coloring on $\text{Amal}(K_{m_1}, \ldots, K_{m_t})$.

3.2. Generalized Wheel Graphs

This is an example in which the ω lower bound in Theorem 2.1 is sharper than β_0 . The join of a cycle with any graph, i.e. $C_n + H$, is called the generalized wheel graphs. This class of graph has been studied under various labelling schemes [3]. Now we consider the rc and src.

Theorem 3.2. Let $n \ge 3$ and H be any graph. Then

(1)
$$rc(C_n + H) = \min\{3, src(C_n + H)\}.$$

(2) If $|V(H)| \leq \left\lceil \frac{n}{3} \right\rceil$, then $src(C_n + H) = \left\lceil \left(\frac{n}{3}\right)^{\frac{1}{|V(H)|}} \right\rceil$.

Proof. (1) By Theorem 2.6 it is enough to prove $rc(G) \leq 3$. A rainbow 3-coloring on $G = C_n + H$ can be produced as follows. Put the color 3 on all edges in C_n . Let the cycle be $v_1 - v_2 - \cdots - v_n - v_1$ in this order. If *i* is odd, assign color 1 to all $v_i - H$ edges. If *i* is even, assign color 2 to all $v_i - H$ edges. In this way, any two vertices in *H* can be connected by a rainbow path with color sequence 1, 3, 2, and any two non-adjacent vertices in C_n can be connected by a rainbow path with color sequence 1, 2 or 3, 1, 2. So this coloring is rainbow, and $rc(G) \leq 3$.

(2) Let $Q = V(C_n)$, b = |V(H)|, and $k = \lceil \frac{n}{3} \rceil$. Then $Q^* = C_n^2$ by Lemma 3.1. If n = 3 then |V(H)| = 1 and $G = K_4$. Now let $n \ge 4$, so that G is not a complete graph and $src(G) \ge 2$. The following claim simplifies computation.

Claim: $\left\lceil \sqrt[b]{\frac{n}{3}} \right\rceil = \left\lceil \sqrt[b]{k} \right\rceil$. Since $\frac{n}{3} \leq k$, we have $\left\lceil \sqrt[b]{\frac{n}{3}} \right\rceil \leq \left\lceil \sqrt[b]{k} \right\rceil$. From $\left\lceil \sqrt[b]{\frac{n}{3}} \right\rceil \geq \sqrt[b]{\frac{n}{3}}$ we have $\left\lceil \sqrt[b]{\frac{n}{3}} \right\rceil^b \geq \frac{n}{3}$ and so $\left\lceil \sqrt[b]{\frac{n}{3}} \right\rceil^b \geq k$. This implies $\left\lceil \sqrt[b]{\frac{n}{3}} \right\rceil \geq \sqrt[b]{k}$, so $\left\lceil \sqrt[b]{\frac{n}{3}} \right\rceil \geq \left\lceil \sqrt[b]{k} \right\rceil$. The Claim is proved.

If $4 \le n \le 6$, then k = 2 and $|V(H)| \in \{1, 2\}$, so $\lceil \sqrt[b]{k} \rceil = 2$ and in this case src(G) = 2. Now let $n \ge 7$. It is not hard to see that $\beta_0(C_n^2) = \lfloor \frac{n}{3} \rfloor$ and $\omega(C_n^2) = 3$. So by the ω lower bound in Theorem 2.1 we have $src(G) \ge \lceil \sqrt[b]{\frac{n}{3}} \rceil = \lceil \sqrt[b]{k} \rceil$. For the upper bound, we quote Theorem 2.3 in [8] stating that

$$src(A+B) \le \max\left\{\Delta(A), \left\lceil i(A)^{\frac{1}{|V(B)|}} \right\rceil, \left\lceil |V(B)|^{\frac{1}{i(A)}} \right\rceil\right\}$$
(3.3)

where i(A) is the *independent domination number* of A, which is the smallest cardinality of a set of independent (pairwise non-adjacent) vertices that are also dominating (i.e. any other vertex is adjacent to at least one of them). We apply this with $A = C_n$ and B = H. The following figure shows that $i(C_n) \leq k$.



Figure 3. The marked vertices form an independent dominating set of cardinality k.

Remark 3.1. Regardless of the structure of H, we have $rc(C_n + H) = 3$ when n is sufficiently large, as soon as $\frac{n}{3} > 2^{|V(H)|}$.

3.3. Sequential Join

This example shows that Theorem 2.2 can be strictly stronger than Theorem 2.1 alone. We will also see some constructive use of color codes. The *sequential join* of vertex-disjoint graphs G_1, G_2, \ldots, G_t is defined as the union

$$(G_1 + G_2) \cup (G_2 + G_3) \cup \cdots \cup (G_{t-1} + G_t)$$

denoted by $G_1 + G_2 + \cdots + G_t$ (see e.g. [3]). We consider on a sequential join of the form $mK_1 + bK_1 + bK_1 + mK_1$. When b = 1 this graph is a tree so its rc and src are already known. So, we assume $b \ge 2$.

Theorem 3.3. Let $G_{m,b} = mK_1 + bK_1 + bK_1 + mK_1$, where $m \ge 1$, $b \ge 2$. Let $n = \lfloor \sqrt[b]{m} \rfloor$. Then

(1) $rc(G_{m,b}) = \min\{4, src(G_{m,b})\}.$

(2)
$$n+1 \leq src(G_{m,b}) \leq n+3.$$

At least two of the values, namely n + 1 and n + 2, can be attained by the src. In fact,

(i) If
$$m \le n^b - n + \left|\frac{n}{2}\right| (2^b - 1)$$
, then $src(G_{m,b}) = n + 1$.

- (ii) If $m \ge \min\{(b-1)^b, (n+1)^b (n+1)\}$, then $src(G_{m,b}) \le n+2$.
- (iii) If $m \ge (n+1)^b \frac{n}{2}$, then $src(G_{m,b}) = n+2$.

Proof. Note that $n^b \leq m < (n+1)^b$. Let $Q_1 = \{v_1, \ldots, v_m\}$ and $Q_2 = \{w_1, \ldots, w_m\}$ be the vertex set of the left and right mK_1 , with $CN(Q_1) = \{t_1, \ldots, t_b\}$ and $CN(Q_2) = \{u_1, \ldots, u_b\}$.

(1) Since $G_{m,b}$ is bipartite, by Theorem 2.7 it is enough to show $rc(G_{m,b}) \leq 4$. We construct a rainbow 4-coloring γ on $G_{m,b}$ as follows. Define γ in such a way so that $code(v_i) = (1, 2, 2, ..., 2)$

with respect to $\{t_1, \ldots, t_b\}$, and $code(w_i) = (1, 4, 4, \ldots, 4)$ with respect to $\{u_1, \ldots, u_b\}$, for all $i \in \{1, \ldots, m\}$. The middle part of $G_{m,b}$ i.e. the subgraph induced by $CN(Q_1) \cup CN(Q_2)$, is a complete bipartite graph $bK_1 + bK_1 = K_{b,b}$ whose src is according to [1] equal to $\lceil \sqrt[b]{b} \rceil = 2$ (because $1 < b < 2^b$ for $b \ge 2$). Put a rainbow 2-coloring on the middle part by using the colors 1 and 3. We modify the coloring in the middle part such that $\gamma(t_1u_1) = 1$, $\gamma(t_2u_1) = 2$, $\gamma(t_2u_2) = 3$, and $\gamma(t_1u_2) = 4$, without destroying rainbow connectivity. Now we prove that γ is rainbow. Let $x, y \in V(G_{m,b})$ be non-adjacent.

Case 1: $x, y \in Q_1$ (or by symmetry $x, y \in Q_2$). The path $x \stackrel{1}{-} t_1 \stackrel{4}{-} u_2 \stackrel{3}{-} t_2 \stackrel{2}{-} y$ is rainbow.

Case 2: $x \in Q_1$ and $y \in CN(Q_2)$ (or by symmetry $x \in CN(Q_1)$ and $y \in Q_2$). The path $x^2 t_2^{-3} u_2^{-4} w_1^{-1} u_1$ is rainbow, and so is $x^2 t_2 - u_i$ for $i \in \{2, \ldots, b\}$.

Case 3: $x, y \in CN(Q_1)$ (or by symmetry $x, y \in CN(Q_2)$). By construction, there is a rainbow path from x to y.

Case 4: $x \in Q_1$ and $y \in Q_2$. The path $x - t_2 - u_2 - y$ is rainbow. This completes the proof of (1).

To prove (2) and the remaining statements, we need the following claim. *Claim*: Let $c \in \mathbb{N}$ satisfy $m \leq c^b - c + \left|\frac{c}{2}\right| (2^b - 1)$. Then $src(G_{m,b}) \leq c + d$, where

$$d = \begin{cases} 1, & \text{if } m \ge c^b - c \text{ or } c \ge b, \\ 2, & \text{otherwise.} \end{cases}$$
(3.4)

We prove this by constructing a strong rainbow (c + d)-coloring γ on $G_{m,b}$. Let $m' \ge m$ be such that $c^b - c + \lfloor \frac{c}{2} \rfloor \le m' \le c^b - c + \lfloor \frac{c}{2} \rfloor (2^b - 1)$. Construct $H = G_{m',b}$ from $G_{m,b}$ by adding new vertices, extending Q_i into Q'_i for all $i \in \{1, 2\}$. First, we define γ as a coloring on H. Later, we will erase the new vertices and restrict γ to $G_{m,b}$.

We begin by coloring the middle part, i.e. $bK_1 + bK_1$ whose src is 2. If d = 2, put a strong rainbow 2-coloring on this part with the colors c+1 and c+2. If d = 1, then we put $\gamma(t_i u_j) = c+1$ instead for all $i, j \in \{1, 2\}$.

Now we color the left wing. Including v_1 , choose any $c^b - c$ vertices in Q'_1 to form a set Q_{11} . The edges adjacent to Q_{11} are colored in such a way so that, with respect to $\{t_1, \ldots, t_b\}$, the set $code(Q_{11})$ consists of all non-diagonal *b*-tuples with entries from $\{1, \ldots, c\}$. If $c \ge b$, we also put $code(v_1) = (1, 2, 3, \ldots, b)$. Analogously, we form $Q_{21} \subseteq Q'_2$ and put the coloring in the same way.

Next, for each $i \in \{1, 2\}$, choose any $\lfloor \frac{c}{2} \rfloor$ vertices from $Q'_i \backslash Q_{i1}$ and let them form a set Q_{i2} . Put the coloring on edges adjacent to Q_{i2} so that $code(Q_{12})$ and $code(Q_{22})$ are disjoint and their union consists of all diagonal tuples with entries taken from $\{1, \ldots, 2 \mid \frac{c}{2} \mid \} \subseteq \{1, \ldots, c\}$.

Finally, for each $i \in \{1, 2\}$, let $Q_{i3} = Q_i \setminus (Q_{i1} \cup Q_{i2})$. If $Q_{i3} = \emptyset$ we are done. Otherwise, put the coloring on edges incident to Q_{i3} in a way so that $code(Q_{i3})$ consists of permutations of $(a, a, \ldots, a, c+1, c+1, \ldots, c+1)$, where $a \in \{1, \ldots, c\}$ with $(a, a, \ldots, a) \in code(Q_{i2})$ is repeated

j times, for some $j \in \{1, \ldots, b\}$. The number of such a tuple (a_1, a_2, \ldots, a_b) is precisely

$$\left\lfloor \frac{c}{2} \right\rfloor \sum_{j=1}^{b-1} \binom{b}{j} = \left\lfloor \frac{c}{2} \right\rfloor (2^b - 2).$$
(3.5)

The condition $m' \leq c^b - c + \left\lfloor \frac{c}{2} \right\rfloor (2^b - 1)$ implies that

$$|Q_{i3}| = m' - (|Q_{i1}| + |Q_{i2}|) = m' - c^b + c - \left\lfloor \frac{c}{2} \right\rfloor \le \left\lfloor \frac{c}{2} \right\rfloor (2^b - 2).$$
(3.6)

Therefore, all vertices of Q_{i3} can be allocated such tuples.

After erasing all the new vertices, we end the definition of γ . Now we prove that γ is strong rainbow. Let $x, y \in V(G_{m,b})$ be non-adjacent.

Case 1: $x, y \in Q_1$ or $x, y \in Q_2$ For each $i \in \{1, 2\}$, all vertices in Q_i have distinct codes. We are done by Lemma 1.1.

Case 2: $x \in Q_1$ and $y \in CN(Q_2)$ (or by symmetry $x \in CN(Q_1)$ and $y \in Q_2$). There is $i \in \{1, \ldots, b\}$ such that $\gamma(xt_i) \leq c$. Then $x - t_i - y$ is a rainbow geodesic.

Case 3: $x, y \in CN(Q_1)$ (or by symmetry $x, y \in CN(Q_2)$). Say $x = t_i$ and $y = t_j$ with $1 \le i < j \le b$. Subcase 3.1: $m \ge c^b - c$.

In this subcase the set $code(Q_{11})$ contains all off-diagonal tuples with entries from $\{1, \ldots, c\}$, so there is $v \in Q_{11}$ such that the *i*'th component of code(v) is different than the *j*'th component. Then x-v-y is a rainbow geodesic.

Subcase 3.2: $c \ge b$.

In this subcase $code(v_1) = (1, 2, ..., b)$, so $x^{-i}v_1^{-j}y$ is a rainbow geodesic. Subcase 3.3: d = 2.

In this subcase there is a rainbow geodesic between x and y in the middle part $(bK_1 + bK_1)$.

In the remaining cases we consider $x \in Q_1$ and $y \in Q_2$.

Case 4: $x \in Q_{11}$ and $y \in Q_{21}$.

If code(x) with respect to $\{t_1, \ldots, t_b\}$ is equal to code(y) with respect to $\{u_1, \ldots, u_b\}$, choose $i, j \in \{1, \ldots, b\}$ with $i \neq j$ and $\gamma(xt_i) \neq \gamma(xt_j) = \gamma(yu_j)$. Then the geodesic $x-t_i-u_j-y$ is rainbow. Now suppose that $code(x) \neq code(y)$, say they differ at the *i*'th component. Then the geodesic $x-t_i-u_i-y$ is rainbow.

Case 5: $x \in Q_{11}$ and $y \in Q_{22} \cup Q_{23}$ (or by symmetry, $x \in Q_{12} \cup Q_{13}$ and $y \in Q_{21}$). There is $j \in \{1, \ldots, b\}$ with $\gamma(yu_j) \leq c$. Since code(x) is non-diagonal, there is $i \in \{1, \ldots, b\}$ with $\gamma(xt_i) \neq \gamma(yu_j)$. Then the geodesic $x - t_i - u_j - y$ is rainbow.

Case 6: $x \in Q_{12}$ and $y \in Q_{22}$.

Since $code(Q_{12}) \cap code(Q_{22}) = \emptyset$, code(x) and code(y) are distinct diagonal tuples with entries from $\{1, \ldots, c\}$. So the geodesic $x - t_1 - u_1 - y$ is rainbow.

Case 7: $x \in Q_{12}$ and $y \in Q_{23}$ (or by symmetry, $x \in Q_{13}$ and $y \in Q_{22}$). Let $code(x) = (a, a, \ldots, a)$ and $code(y) = (w_1, \ldots, w_b)$. Let $i \in \{1, \ldots, b\}$ be such that $(w_i, w_i, \ldots, w_i) \in code(Q_{22})$. Then $a \neq w_i$ since $code(Q_{12}) \cap code(Q_{22}) = \emptyset$, so the geodesic $x^a - t_1 - u_i^w y$ is rainbow.

Case 8: $x \in Q_{13}$ and $y \in Q_{23}$.

Let $code(x) = (v_1, \ldots, v_b)$ and $code(y) = (w_1, \ldots, w_b)$. Let $i, j \in \{1, \ldots, b\}$ be such that $(v_i, v_i, \ldots, v_i) \in code(Q_{12})$ and $(w_j, w_j, \ldots, w_j) \in code(Q_{22})$. Then $v_i \neq w_j$ since $code(Q_{12}) \cap code(Q_{22}) = \emptyset$, so the geodesic $x^{\frac{v_i}{-}}t_i - u_j^{\frac{w_j}{-}}y$ is rainbow. This completes the proof of the Claim.

(2) From Theorem 2.1 with $Q = Q_1 \cup \{u_1\}$, we have $src(G_{m,b}) \ge \sqrt[b]{m+1} > n$. So we get the lower bound $src(G_{m,b}) \ge n+1$. Let c = n+1. Note that $\lfloor \frac{n+1}{2} \rfloor (2^b - 1) \ge 3 \lfloor \frac{n+1}{2} \rfloor \ge n+1$. So $c^b - c + \lfloor \frac{c}{2} \rfloor (2^b - 1) \ge c^b = (n+1)^b > m$, and the Claim gives $src(G_{m,b}) \le c+2 = n+3$.

(i) If $m \le n^b - n + \lfloor \frac{n}{2} \rfloor$ $(2^b - 1)$, use the Claim with c = n and d = 1 to obtain $src(G_{m,b}) \le n+1$. This and the lower bound $src(G_{m,b}) \ge n+1$ prove (i).

(ii) If $m \ge \min \{(b-1)^b, (n+1)^b - (n+1)\}$, then the Claim with c = n+1 and d = 1 gives $src(G_{m,b}) \le n+2$.

(iii) Now suppose $m \ge (n+1)^b - \frac{n}{2}$. Then $m \ge (n+1)^b - (n+1)$, so by (ii) we have $src(G_{m,b}) \le n+2$. Next we use Theorem 2.2 with Q_1 and Q_2 with the initial estimate $src(G_{m,b}) \ge n+1$ to obtain $src(G_{m,b}) \ge 1 + \lfloor \sqrt[b]{m+\frac{n}{2}} \rfloor \ge 1 + \lfloor \sqrt[b]{(n+1)^b} \rfloor = n+2$.

Remark 3.2. As a result, we have $rc(G_{m,b}) = 4$ when m is sufficiently large compared to b, as soon as $m \ge 3^b$.

When b = 2, we have a complete solution for the rc.

Theorem 3.4.
$$rc(G_{m,2}) = \begin{cases} 3, & \text{if } 1 \le m \le 5, \\ 4, & \text{if } m \ge 6. \end{cases}$$

Proof. We continue to use the same notation as in the proof of previous theorem. If $1 \le m \le 3$, then by Theorem 3.3(2) we have $rc(G_{m,2}) \le src(G_{m,2}) \le \lfloor \sqrt{m} \rfloor + 2 = 3$. If $4 \le m \le 5$, then Theorem 3.3(1) gives $rc(G_{m,2}) \le src(G_{m,2}) = \lfloor \sqrt{m} \rfloor + 1 = 3$. Now let $m \ge 6$ and suppose $rc(G_{m,2}) \le 3$. Then there is a rainbow 3-coloring γ on $G_{m,2}$.

Claim 1: For any $i \in \{1, 2\}$, all vertices in $code(Q_1) \cup \{u_i\}$ have different codes with respect to $\{t_1, t_2\}$. Also, all vertices in $code(Q_2) \cup \{t_i\}$ have different codes with respect to $\{s_1, s_2\}$.

A path between vertices in $Q_1 \cup \{u_i\}$ not passing through t_1 or t_2 has length at least 4. So, any rainbow path between vertices in $Q_1 \cup \{u_i\}$ must be of the form $x-t_j-y$. This proves Claim 1.

Claim 2: There is at least one diagonal tuple in $code(Q_1)$, and at least one in $code(Q_2)$.

Assume otherwise. Suppose $code(Q_1)$ has no diagonal tuple. Since there are only six nondiagonal tuples, we have m = 6 and $code(Q_1) = \{(1,2), (2,1), (1,3), (3,1), (2,3), (3,2)\}$. By Claim 1, the codes of u_1 and u_2 with respect to $\{t_1, t_2\}$ are both diagonal. If $code(u_1) \neq code(u_2)$, say $code(u_1) = (1,1)$ and $code(u_2) = (2,2)$, then there are no rainbow path from the vertex in Q_1 with code (1,2) to any vertex in Q_2 . Now suppose $code(u_1) = code(u_2)$, say (1,1). There is some $x \in Q_2$ with $code(x) \in \{(1,2), (1,3), (2,1), (3,1)\}$, because otherwise $code(Q_2) \subseteq$ $\{(1,1), (2,2), (3,3), (2,3), (3,2)\}$. Let $y \in Q_2$ with code(y) = code(x). Then there are no rainbow paths between x and y. The proof of Claim 2 is complete.

Claim 3: There is at most one diagonal tuple in $code(Q_1)$, and at most one in $code(Q_2)$.

Assume otherwise. WLOG, let $a, b \in Q_1$ with code(a) = (1, 1) and code(b) = (2, 2). If there is some $c \in Q_2$ with $code(c) \in \{(1, 1), (2, 2)\}$, then there are no rainbow paths between c and a, or between c and b. So $code(Q_2) \subseteq \{(3, 3), (1, 2), (2, 1), (1, 3), (3, 1), (2, 3), (3, 2)\}$.

Case 1: $(3,3) \in code(Q_2)$.

Suppose $c \in Q_2$ with code(c) = (3,3). There is a rainbow path from a to c, so $\gamma(t_i u_j) = 2$ for some $i, j \in \{1, 2\}$. By symmetry, we may assume $\gamma(t_1 u_1) = 2$. Consider $code(u_1) = (2, \gamma(u_1 t_2))$ with respect to $\{t_1, t_2\}$. By Claim 1, $code(u_1) \notin code(Q_1)$. So $code(u_1) \neq (2, 2)$.

Subcase 1.1: $code(u_1) = (2, 1)$.

Since $|code(Q_2) \setminus \{(3,3)\}| \ge 5$, at least one of (1,2) or (2,1) is in $code(Q_2)$. If $x \in Q_2$ with code(x) = (1,2), then there are no rainbow path from x to b. If $x \in Q_2$ with code(x) = (2,1), then there are no rainbow path from x to a.

Subcase 1.2: $code(u_1) = (2, 3)$.

There is a rainbow path from c to b, so either $\gamma(u_2t_1) = 1$ or $\gamma(u_2t_2) = 1$.

Subsubcase 1.2.1: $\gamma(u_2t_1) = 1$. Since $|code(Q_2) \setminus \{(3,3)\}| \ge 5$, at least one of (1,2) or (2,1) is in $code(Q_2)$. If $x \in Q_2$ with code(x) = (1,2), then because there is a rainbow path from x to a, we must have $\gamma(t_2u_2) = 3$. If $x \in Q_2$ with code(x) = (2,1), then because there is a rainbow path from x to b, we must have $\gamma(t_2u_2) = 3$. In either case, $code(t_2) = (3,3)$ with respect to $\{u_1, u_2\}$, contradicting Claim 1. Subsubcase 1.2.2: $\gamma(u_2t_2) = 1$.

Now $code(t_2) = (3,1)$ with respect to $\{u_1, u_2\}$, so by Claim 1 and $|code(Q_2) \setminus \{(3,3)\}| \ge 5$ we must have $code(Q_2) = \{(3,3), (1,2), (2,1), (1,3), (2,3), (3,2)\}$. Let $x \in Q_2$ with code(x) = (1,3). There must be a rainbow path from x to a, so $\gamma(u_2t_1) = 2$. Then there are no rainbow paths from a to the vertex in Q_2 whose code is (1,2).

Case 2: $(3,3) \notin code(Q_2)$.

Since $m \ge 6$, in this case $code(Q_2) = \{(1,2), (2,1), (1,3), (3,1), (2,3), (3,2)\}$. Let $x \in Q_2$ with code(x) = (1,3). There must be a rainbow path from x to a, so either $\gamma(u_2t_1) = 2$ or $\gamma(u_2t_2) = 2$. By symmetry, we may assume $\gamma(u_2t_2) = 2$. By Claim 1, $code(t_2)$ with respect to $\{u_1, u_2\}$ cannot be an non-diagonal tuple, so $code(t_2) = (2,2)$.

Now let $y \in Q_2$ with code(y) = (2, 1). There must be a rainbow path from y to b, so $\gamma(u_2t_1) = 3$. Because $code(t_1)$ with respect to $\{u_1, u_2\}$ cannot be an non-diagonal tuple, we must have $code(t_1) = (3, 3)$. Then there are no rainbow paths from b to the vertex in Q_2 whose code is (3,2). This completes the proof of Claim 3.

Now, by Claim 2 and Claim 3, there is exactly one diagonal tuple in $code(Q_1)$, and similarly in $code(Q_2)$. By Claim 1, this forces $m \leq 7$, each of $code(Q_1)$ and $code(Q_2)$ can only miss at most one non-diagonal tuple, and at most one non-diagonal tuple can occur as $code(u_1)$ or $code(u_2)$.

WLOG, let us assume $(1,1) \in code(Q_1)$, say $x \in Q_1$ with code(x) = (1,1). If none of $code(u_1), code(u_2)$ is equal to (2,2) or (3,3), then $code(u_1) = code(u_2) = (a,b)$ with $a \neq b$.

But then $code(t_1) = (a, a)$ and $code(t_2) = (b, b)$. Therefore, exchanging the role of Q_1 and Q_2 if necessary, we may assume without loss of generality that $(1, 1) \in code(Q_1)$ and $code(u_1) = (2, 2)$.

If $(2,1) \in code(Q_2)$, then there are no rainbow paths from x to the vertex in Q_2 whose code is (2,1). So $(2,1) \notin code(Q_2)$. Hence, all non-diagonal tuples except (2,1) are in $code(Q_2)$. In particular, there is some $y \in Q_2$ with code(y) = (1,2).

Because there is a rainbow path from x to y, we must have $\gamma(t_1u_2) = 3$ or $\gamma(t_2u_2) = 3$. So either $code(t_1) = (2,3)$ or $code(t_2) = (2,3)$, contradicting Claim 1 since $(2,3) \in code(Q_2)$.

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