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The second least eigenvalue of the signless Laplacian of the complements of trees

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Abstract

Suppose that \mathfrak{T}_n^c is a set, such that the elements of \mathfrak{T}_n^c are the complements of trees of order n. In 2012, Li and Wang gave the unique graph in the set $\mathfrak{T}_n^c \setminus \{K_{1,n-1}^c\}$ with minimum 1st 'least eigenvalue of the signless Laplacian' (abbreviated to a LESL). In the present work, we give the unique graph with 2nd LESL in $\mathfrak{T}_n^c \setminus \{K_{1,n-1}^c\}$, where $K_{1,n-1}^c$ represents the complement of star of order n.

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1. Introduction

All the graphs considered in this paper are finite, undirected and simple. Suppose $\Gamma = (V(\Gamma), E(\Gamma))$ is a graph, where $V(\Gamma)$ and $E(\Gamma)$ be the vertex set and the edge set respectively. The graph $\Gamma^c := (V(\Gamma), \bar{E}(\Gamma))$ be the complement of graph Γ and its edge set $\bar{E}(\Gamma) = \{xy : x, y \in V(\Gamma), xy \notin E(\Gamma)\}$. If a vertex v adjacent to a vertex u, then we simply write $v \sim u$, otherwise we write $v \approx u$. Define $A(\Gamma) = [a_{ij}]$ be the *adjacency matrix* of a graph Γ with order n, where the entry $a_{ij} = 1$ if $i \sim j$, and $a_{ij} = 0$ if $i \approx j$. The *degree matrix* of Γ is denoted by $D(\Gamma)$ and $D(\Gamma) = \text{diag}(d_{\Gamma}(v_1), \dots, d_{\Gamma}(v_n))$, where $d_{\Gamma}(v)$ means degree of vertex v. The *Laplacian matrix* of a graph Γ , denoted by $L(\Gamma)$, is defined as $L(\Gamma) = D(\Gamma) - A(\Gamma)$. The Laplacian matrix of a graph has been extensively studied, see [2, 3, 14, 19, 20, 22, 26, 31]. Zero is the smallest eigenvalue of $L(\Gamma)$ and the 2nd smallest eigenvalue of $L(\Gamma)$ is known as the *algebraic connectivity* of Γ . We may refer to [4, 34], for undefined notations, the concepts of graph theory

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and for the study of distance matrix we refer to [29, 32]. The matrix $Q(\Gamma) = D(\Gamma) + A(\Gamma)$ is the signless Laplacian matrix of Γ [28]. In particular, $Q(\Gamma)$ is positive semidefinite. It is easy to check that $Q(\Gamma)$ is real and symmetric, and so the eigenvalues of $Q(\Gamma)$ can be ordered as $q_1(Q(\Gamma)) \ge q_2(Q(\Gamma)) \ge \cdots \ge q_n(Q(\Gamma)) \ge 0$. In this case, the signless Laplacian index of Γ is $q_1(Q(\Gamma))$. If Γ is a connected graph of order n and m edges, then Γ is called k-cyclic if m = n - 1 + k. In particular, if k = 0, then Γ is called a tree [13, 39]. We denote the star graph of order n by $K_{1,n-1}$. Define $\mathfrak{T}_n = \{\Gamma \mid \Gamma$ is a tree of order $n\}$ and $\mathfrak{T}_n^c = \{\Gamma^c \mid \Gamma \in \mathfrak{T}_n\}$. In last few years, many researchers work on the eigenvalues of signless Laplacian matrix, especially they focus on signless Laplacian index and a brief survey on this work can be found in [9, 11]. Several bounds can be found in [6, 16, 24, 25, 33, 36, 37, 38] for the signless Laplacian eigenvalues. Furtheremore, for $Q(\Gamma)$ -spread see [30]. Here, our focus is on the least eigenvalue of $Q(\Gamma) = D(\Gamma) + A(\Gamma)$ which is denoted by $r(\Gamma)$.

Problem related to the signless Laplacian index was raised by Zhu in [38], he asked the following question: Let \mathfrak{S} be a set of graphs, find an upper bound for the signless Laplacian index of graphs in \mathfrak{S} , and also determine the graphs which achieve the maximal index. Similar to the above problem, the following problem is also natural: Let \mathfrak{S} be a set of graphs, for LESL, determine the lower bound. Also give the characterization of graphs which coincide the lower bound.

Both problems are basically related to classical Brualdi-Solheid problem which base on signless Laplacian matrix, for adjacency matrix, we refer [5].

Recently Li and Wang [23] studied the unique graph which has first LESL over $\mathfrak{T}_n^c \setminus \{K_{1,n-1}^c\}$. In the present work, we give the unique graph which has 2nd LESL over the same class of trees.

2. Preliminaries

The eigenvectors corresponding to the eigenvalue $r(\Gamma)$ known as *least eigenvectors* of Γ . Assume $X \in \mathbb{R}^n$ be the vector defined on given graph Γ of order n. A one-one map φ from vertex set of Γ to entries of X, write as $X_u = \varphi(u)$ for each vertex u of $V(\Gamma)$. If $Q(\Gamma)$ has an eigenvector X, obviously this vector defined over $V(\Gamma)$. The entry in vector X with respect to the vertex u is X_u , it can be easily verified that for any $X \in \mathbb{R}^n$

$$X^T Q(\Gamma) X = \sum_{uv \in E_{\Gamma}} (X_u + X_v)^2$$
(1)

and when X is the eigenvector corresponding to μ (signless Laplacian eigenvalue of Γ) $\Leftrightarrow X \neq 0$,

$$(\mu - d(v))X_v = \sum_{u \in N_{\Gamma}(v)} X_u.$$
⁽²⁾

Eq. (2) is called the *eigenvalue-equation* for the Γ . In Eq. (2), d(v) and $N_{\Gamma}(v)$ denote the degree and the neighborhood of vertex $v \in V(\Gamma)$ respectively. Furthermore, for any arbitrary unit vector $X \in \mathbb{R}^n$,

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$$r(\Gamma) = \min(X^T Q(\Gamma) X) \le X^T Q(\Gamma) X.$$
(3)

Note that the equality sign in Eq. (3) holds if and only if X is a least eigenvector of Γ .



Figure 1. Graph T(p, l, q) such that p + l + q = n - 2 where $l \ge 0$.

By Γ^c we denote the complement of Γ . It is trivial to see that $Q(\Gamma^c) = J - Q(\Gamma) + (n-2)I$, where J is the square matrix having all entries 1 and I is the identity matrix, with desired size. So, for each $X \in \mathbb{R}^n$,

$$X^T Q(\Gamma^c) X = X^T (J + (n-2)I) X - X^T Q(\Gamma) X.$$
(4)

A tree of order n + 1 obtained by joining n isolated vertices to a specific vertex is called a *star*, we denote this by $K_{1,n}$. Let T be a tree and v, u be the two vertices in T, the distance between v and u is denoted by $d_T(v, u)$. Now, we define a special tree obtained by joining the center vertices of two disjoint stars $K_{1,p}$ and $K_{1,q}$ where $p, q \ge 0$ by a path having length l + 1, where $l \ge 0$, and it is denoted by T(p, l, q). The tree T(p, l, q) is shown in Figure 1 with some of vertices are labeled.

In the following results by $\lambda_{\min}(Q)$ we mean LESL of Γ .

Lemma 2.1 ([9]). For a connected graph Γ , $\lambda_{\min}(Q) = 0 \Leftrightarrow \Gamma$ is bipartite.

Lemma 2.2 ([9]). Suppose Γ is a graph. Then $m(0) = \#\tau(\Gamma)$, where m(0) is the multiplicity of signless Laplacian eigenvalue 0 and $\tau(\Gamma)$ is equal the bipartite components of Γ .

Lemma 2.3 ([23]). Given a graph Γ , $r(\Gamma) \leq \delta(\Gamma)$ where $\delta(\Gamma) = \min\{d_v, v \in V_{\Gamma}\}$.

Lemma 2.4 ([23]). For any $T \in \mathfrak{T}^c$ with $n \geq 5$, $\lambda_{\min}(T^c) = 0 \Leftrightarrow T \cong K_{1,n-1}$.

3. Our Results

In the present section we are in the position to determine the unique graph with the 2nd LESL in the set $\mathfrak{T}_n^c \setminus K_{1,n-1}^c$. Before to do so 1st we give the following lemmas, which is crucial for the main result. Note, that from now p, q and n are positive integers, and of course the vector X is least eigenvector.

Lemma 3.1. $r(T(p, 2, q)^c)$ more than $r(T(p+1, 2, q-1)^c)$, for $n \ge 7$, $p \ge q \ge 2$ and p+q = n-4.



Figure 2. A tree T(p, 2, q).

Proof. Suppose that T(p, 2, q) is a graph with some vertices are labeled (see Figure 2). Assume that X is a vector of $T(p, 2, q)^c$. By Eq. (2), as $r(T(p, 2, q)^c)$ greater than 0, all pendent vertices adjacent to v_2 have the same values, write X_1 . In the same way, all pendent vertices adjacent to v_5 have the same values, write X_6 . Write $X_{v_i} =: X_i, 2 \le i \le 5$ and $r(T(p, 2, q)^c) := \mu_1$. By using Eq. (2) on vertices v_i where $1 \le i \le 6$, we obtain the following system of equations

$$\begin{cases} (\mu_1 - (p+q+2))X_1 = (p-1)X_1 + X_3 + X_4 + X_5 + qX_6 \\ (\mu_1 - (q+2))X_2 = X_4 + X_5 + qX_6 \\ (\mu_1 - (p+q+1))X_3 = pX_1 + X_5 + qX_6 \\ (\mu_1 - (p+q+1))X_4 = pX_1 + X_2 + qX_6 \\ (\mu_1 - (p+2))X_5 = pX_1 + X_2 + qX_6 \\ (\mu_1 - (p+q+2))X_6 = pX_1 + X_2 + X_3 + X_4 + (q-1)X_6 \end{cases}$$

transform the above system of equations into a matrix equation $(\mu_1 I - \mathbf{B}_1)X = 0$ where $X = (X_1, \ldots, X_6)$ and

$$\mathbf{B}_{1} = \begin{bmatrix} \theta_{1} & 0 & 1 & 1 & 1 & q \\ 0 & \theta_{2} & 0 & 1 & 1 & q \\ p & 0 & \theta_{3} & 0 & 1 & q \\ p & 1 & 0 & \theta_{4} & 0 & q \\ p & 1 & 1 & 0 & \theta_{5} & 0 \\ p & 1 & 1 & 1 & 0 & \theta_{6} \end{bmatrix}$$

where $\theta_1 = 2p + q + 1$, $\theta_2 = q + 2$, $\theta_3 = \theta_4 = p + q - 1$, $\theta_5 = p + 2$ and $\theta_6 = p + 2q + 1$. Let

 $f_1(\mu, p, q) := (\mu_1 I - \mathbf{B}_1)$, we get the following equation:

$$\begin{split} f_1(\mu, p, q) &= (1 + p + q - \mu)(2p + 2p^2 + 8p^3 + 4p^4 + 2q + 12pq \\ &+ 22p^2q + 16p^3q + 2p^4q + 2q^2 + 22pq^2 + 24p^2q^2 \\ &+ 6p^3q^2 + 8q^3 + 16pq^3 + 6p^2q^3 + 4q^4 + 2pq^4 - 4\mu \\ &- 15p\mu - 30p^2\mu - 20p^3\mu - 2p^4\mu - 15q\mu - 60pq\mu \\ &- 59p^2q\mu - 13p^3q\mu - 30q^2\mu - 59pq^2\mu - 22p^2q^2\mu \\ &- 20q^3\mu - 13pq^3\mu - 2q^4\mu + 14\mu^2 + 38p\mu^2 + 35p^2\mu^2 \\ &+ 7p^3\mu^2 + 38q\mu^2 + 69pq\mu^2 + 25p^2q\mu^2 + 35q^2\mu^2 \\ &+ 25pq^2\mu^2 + 7q^3\mu^2 - 16\mu^3 - 26p\mu^3 - 9p^2\mu^3 \\ &- 26q\mu^3 - 19pq\mu^3 - 9q^2\mu^3 + 7\mu^4 + 5p\mu^4 + 5q\mu^4 - \mu^5), \end{split}$$

when $\mu = 0$, we have

$$f_1(0, p, q) = (1 + p + q)(2p + 2p^2 + 8p^3 + 4p^4 + 2q + 12pq + 22p^2q + 16p^3q + 2p^4q + 2q^2 + 22pq^2 + 24p^2q^2 + 6p^3q^2 + 8q^3 + 16pq^3 + 6p^2q^3 + 4q^4 + 2pq^4) > 0,$$

and

$$f_{1}(\mu; p, q) - f_{1}(\mu; p+1, q-1) = (1+p-q)(1+p+q-\mu)(8-2p+2p^{3}-2q+6p^{2}q) + 6pq^{2}+2q^{3}+p\mu-5p^{2}\mu+q\mu-10pq\mu-5q^{2}\mu-\mu^{2} + 4p\mu^{2}+4q\mu^{2}-\mu^{3}).$$

Lemma 2.3 and Lemma 2.4 $\Rightarrow \mu_1$ is a least zero of $f_1(\mu; p, q)$, for $0 \le \mu_1 \le q+2$. In addition, since $p \ge q$, we have $f_1(\mu; p, q) - f_1(\mu; p+1, q-1) > 0$. In particular, $f_1(\mu_1; p+1, q-1)$ less than $0, \Rightarrow r(T(p, 2, q)^c)$ greater than $r(T(p+1, 2, q-1)^c)$.

Remarks 1. Lemma 3.1 $\Rightarrow r(T(p, 2, q)^c) > r(T(p + 1, 2, q - 1)^c) > \cdots > r(T(n - 5, 2, 1)^c) = r(T(n - 5, 3, 0)^c)$, since $T(n - 5, 2, 1) \cong T(n - 5, 3, 0)$, this \Rightarrow the last equality hold.

Lemma 3.2. $r(T(p,3,q)^c)$ more than $r(T(p+1,3,q-1)^c) > \cdots > r(T(n-5,3,0)^c)$, for $n \ge 7$, $p \ge q \ge 1$ and p + q = n - 5.

Proof. Suppose that T(p, 3, q) is a graph with some vertices labeled (see Figure 3). Assume that X is a vector of $T(p, 3, q)^c$. By the Eq. (2), as $r(T(p, 3, q)^c)$ greater than 0, all the pendant vertices which are adjacent to v_2 have the same values given by X, write X_1 . In the same way, all the pendant vertices adjacent to v_6 have the same values, write X_7 . Write $X_{v_i} =: X_i$ where $2 \le i \le 6$ and $r(T(p, 2, q)^c) := \mu_1$. Then, from Eq. (2) on v_i where $1 \le i \le 7$, we obtain the following system of equations

$$\begin{cases} (\mu_1 - (p+q+3))X_1 = (p-1)X_1 + X_3 + X_4 + X_5 + X_6 + qX_7 \\ (\mu_1 - (q+3))X_2 = X_4 + X_5 + X_6 + qX_7 \\ (\mu_1 - (p+q+2))X_3 = pX_1 + X_5 + X_6 + qX_7 \\ (\mu_1 - (p+q+2))X_4 = pX_1 + X_2 + X_6 + qX_7 \\ (\mu_1 - (p+q+2))X_5 = pX_1 + X_2 + X_3 + qX_7 \\ (\mu_1 - (p+q+2))X_6 = pX_1 + X_2 + X_3 + X_4 \\ (\mu_1 - (p+q+3))X_7 = pX_1 + X_2 + X_3 + X_4 + X_5 + (q-1)X_7 \end{cases}$$



Figure 3. Graph T(p, l, q) with p + q = n - 5

transform the above system of equations into a matrix equation $(\mu_1 I - \mathbf{B}_2)X = 0$ where $X = (X_1, \ldots, X_7)$ and

$$\mathbf{B}_{2} = \begin{bmatrix} \phi_{1} & 0 & 1 & 1 & 1 & 1 & 1 & q \\ 0 & \phi_{2} & 0 & 1 & 1 & 1 & q \\ p & 0 & \phi_{3} & 0 & 1 & 1 & q \\ p & 1 & 0 & \phi_{4} & 0 & 1 & q \\ p & 1 & 1 & 0 & \phi_{5} & 0 & q \\ p & 1 & 1 & 1 & 0 & \phi_{6} & 0 \\ p & 1 & 1 & 1 & 1 & 0 & \phi_{7} \end{bmatrix}$$

where $\phi_1 = 2p + q + 2$, $\phi_2 = q + 3$, $\phi_3 = \phi_4 = \phi_5 = p + q + 2$, $\phi_6 = p + 3$ and $\phi_7 = p + 2q + 2$ $f_2(0, p, q) = -2(32 + 140p + 224p^2 + 195p^3 + 99p^4 + 27p^5 + 3p^6 + 140q + 472pq + 626p^2q + 422p^3q + 149p^4q + 24p^5q + p^6q + 224q^2 + 626pq^2 + 646p^2q^2 + 312p^3q^2 + 69p^4q^2 + 5p^5q^2 + 195q^3 + 422pq^3 + 312p^2q^3 + 96p^3q^3 + 10p^4q^3 + 99q^4 + 149pq^4 + 69p^2q^4 + 10p^3q^4 + 27q^5 + 24pq^5 + 5p^2q^5 + 3q^6 + pq^6) < 0$,

and

$$f_{2}(\mu; p+1, q-1) - f_{2}(\mu; p, q) = (1+p+q-\mu)(3+p+q-\mu) (16+6p+4p^{2}+2p^{3}+6q+8pq+6p^{2}q) + 4q^{2}+6pq^{2}+2q^{3}-7\mu-6p\mu-5p^{2}\mu-6q\mu - 10pq\mu-5q^{2}\mu+2\mu^{2}+4p\mu^{2}+4q\mu^{2}-\mu^{3})(1+p-q).$$

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Lemma 2.3 and Lemma 2.4 $\Rightarrow 0 < \mu_1 \le \delta(T^c) \le q+1$ is a least zero of $f_2(\mu; p, q)$. And if $p \ge q$, then $f_2(\mu; p+1, q-1) - f_2(\mu; p, q)$. In particular, $f_2(\mu_1; p+1, q-1)$ greater than 0, we have $r(T(p, 3, q)^c)$ greater than $r(T(p+1, 3, q-1)^c)$.

Lemma 3.3. If the sequence $\{X_i : 1 \le i \le n\}$ is the decreasing one, with X_1 greater than 1 and X_n less than 0. Then for $i, j \in [1, n]$, $(X_i + X_j)^2 \le \max\{(X_i + X_j)^2, (X_i + X_n)^2\}$ and $(X_i + X_j)^2 \le \max\{(X_j + X_n)^2, (X_j + X_n)^2\}$ hold.

Proof. If $X_i + X_j \ge 0$, where $1 \le i, j \le n$, then by monotone of $\{X_i, i = 1, 2, ..., n\}$, we have

$$0 \le X_i + X_j \le X_i + X_1, \ 0 \le X_i + X_j \le X_j + X_1,$$
(5)

Hence,

$$(X_i + X_j)^2 \le (X_i + X_1)^2, \ (X_i + X_j)^2 \le (X_j + X_1)^2.$$
 (6)

Similarly if $X_i + X_j$ is at most 0, we have

$$0 \ge X_i + X_j \ge X_i + X_n,\tag{7}$$

then

$$(X_i + X_j)^2 \le (X_i + X_n)^2.$$
 (8)

Lemma 3.4. For any tree $T \in \mathfrak{T}_n \setminus \{K_{1,n-1}\}, r(T^c) \ge r(T(p,l,q)^c)$ hold, where $n \ge 7, p,q \in [0, n-2], p+q+l=n-2$ and $l \in [2,3].$

Proof. Suppose that X is a vector of T^c . Then X is not 0 and $X \perp 1$. Thus we can get a sequence $\{X_{v_i} : i = 1, 2, \ldots, n\}$ such that $X_{v_1} \geq X_{v_2} \geq \cdots \geq X_{v_n}, X_{v_1} > 0, X_{v_n} < 0$.

First we consider $l = d_T(v_1, v_n) - 1 > 3$. Let the path $v_1Tv_n := v_1 \dots u_1vu_2 \dots v_n$. For any $u \in V_T$, by Lemma 3.3, we have $(X_v + X_u)^2) \leq max\{(X_v + X_{v_1})^2, (X_v + X_{v_n})^2\}$ if $(X_v + X_{v_1})^2 \geq (X_v + X_{v_n})^2$, then remove the edge vu_1 and plus the edge vv_1 ; if not, then remove the edge vu_2 and plus the edge vv_n .

Now we get a T^* such that $l^* := d_{T^*}(v_1, v_n) - 1$ less than l. In this situation, we get the following:

$$\sum_{v_i v_j \in E_T} (X_{v_i} + X_{v_j})^2 \le \sum_{v_i v_j \in E_{T^*}} (X_{v_i} + X_{v_j})^2.$$

This procedure repeated until $l = d_T(v_1, v_n) - 1 \leq 3$. If the pendant vertex v, exists in the new graph whose neighbor u is neither v_1 nor v_n satisfying $(X_v + X_{v_1})^2 \geq (X_v + X_{v_n})^2$, then remove uv and plus vv_1 ; if not, then remove vu and plus vv_n . Repeat this re-arranging until T' isomorphic to T(p, l, q), where $2 \leq l \leq 3$. Lemma 3.3 \Rightarrow

$$\sum_{v_i v_j \in E_T} (X_{v_i} + X_{v_j})^2 \le \sum_{v_i v_j \in E_{T'}} (X_{v_i} + X_{v_j})^2.$$

Now, consider $l = d_T(v_1, v_n) - 1 = 4$; see Figure 4, if $(X_{v_1} + X_{v_j})^2 \ge (X_{v_i} + X_{v_n})^2$, remove the edge $v_i v_j$ and plus the edge $v_j v_3$, if not, then remove the edge $v_i v_j$ and plus the edge $v_i v_n$. By Lemma 3.3, we have

$$\sum_{v_i v_j \in E_{T(p,4,q)}} (X_{v_i} + X_{v_j})^2 \le \sum_{v_i v_j \in E_{T(p+1,3,q)}} (X_{v_i} + X_{v_j})^2,$$

or

$$\sum_{v_j \in E_{T(p,4,q)}} (X_{v_i} + X_{v_j})^2 \le \sum_{v_i v_j \in E_{T(p,3,q+1)}} (X_{v_i} + X_{v_j})^2.$$



Figure 4. Graph T(p, 4, q) with p + q = n - 6

Hence, for any $T \in \mathfrak{T}_n \setminus \{K_{1,n-1}\}$, there are some p, q, l with $p+q+l = n-2, p, q \in [0, n-2]$ and $l \in [2, 3]$, such that

$$\begin{aligned} r(T^{c}) &= X^{T}Q(T^{c})X \\ &= X^{T}(J + (n-2)I)X - X^{T}Q(T)X \\ &\geq X^{T}(J + (n-2)I)X - X^{T}Q(T(p,l,q))X \\ &\geq X^{T}Q(T(p,l,q)^{c})X \\ &\geq r(T(p,l,q)^{c}). \end{aligned}$$

By Lemmas 3.1 and 3.2, we get

 v_i

$$r(T(p,2,q)^c) > r(T(p+1,2,q-1)^c) > \dots > r(T(n-5,2,1)^c) = r(T(n-5,3,0))$$

also

$$r(T(p,3,q)^c) > r(T(p+1,3,q-1)^c) > \dots > r(T(n-5,3,0)^c).$$

As consequence of Lemmas 3.1, 3.2 and 3.3. Now, we obtain the following:

Theorem 3.1. For each $T \in \mathfrak{T}_n \setminus \{K_{1,n-1}\}$, $r(T^c) \ge r(T(n-5,3,0)^c)$ hold (where $n \ge 7$), with equality $\Leftrightarrow T \cong r(T(n-5,3,0))$.

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